

# Spray Coated Cellulose Nanofiber Laminates on the Paper to Enhance its Barrier and Mechanical Properties

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**Abstract:** Cellulose nanofiber (CNF) is a sustainable biomaterial to replace the synthetic plastics for coating on the paper to enhance their barrier and mechanical properties. Spraying CNF is a flexible process for fabricating barrier layers on the paper. Spraying CNF suspension vary from 0.5 wt.% to 1.5 wt.% on the paper substrates gives coat weight varied from 2.9±0.7 to 29.3±6.9 g/m<sup>2</sup>. As a result, CNF coat weights act as barrier layers and reduced the air permeance from 0.78±0.17 to < 0.003 μm/Pa.S. Sprayed CNF fills the surface pores in the paper and formed barrier layers as laminates on the paper evaluated via SEM. Coated CNF also increase the mechanical strength of the paper from 109.7±7 to 131.1±13.4 N while tailoring the coat weight vary from 2.9±0.7 to 29.3±6.9 g/m<sup>2</sup>. This process can be implemented in a roll to roll coating with an integration of sprayer for continuous process via scale up.

**Keywords:** Air permeability, Cellulose nanofiber (CNF), CNF laminates, Spray coating, Tensile strength

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## 1. Introduction

To resolve synthetic plastic pollution from packaging materials, major efforts are being taken the way to identify alternative biomaterials from natural source to improve the barrier performance as well as minimize disposal or recycle problems (Rastogi et al. 2015). Cellulose nanofibers (CNF) also known as nanocellulose or nano-fibrillated cellulose, is made by breaking down cellulose fibres into fibres with diameters ranging from 5 nm to 100 nm and is as building block for development of new cellulose based functional materials. Recently, cellulose nanofibrils are used in the development of high strength barrier layers and nanocomposites (Ramos et al. 2016). Cellulose nanofibre has a potential of renewable, recyclable, compostable and biodegradable alternatives to the synthetic polymer based products (Appendini et al. 2002). CNF is used as coating materials for enhancing the barrier properties of a paper (Abitbol et al. 2016).

Cellulose nanofibres are isolated and processed from wood via various chemical, enzymatic, and/or mechanical treatments (Abitbol, T et al 2016). Due to nano size of fibres, it possesses various outstanding properties, such as high aspect ratio, high specific strength, flexibility, large

specific surface area, and thermal stability, combined with biodegradability and biocompatibility (Abitbol, T et al 2016). These properties could make cellulose nanofibre suitable for a wide range of applications, such as film (Syverud et al. 2008), reinforcing phase in composite materials (Mörseburg et al. 2009), barriers in packaging (Nair et al. 2014), rheology modifiers for suspensions (Dimic-Misic et al., 2013), filters for virus removal and water treatment technologies (Metreveli et al. 2014, Varanasi et al. 2015), flexible platforms for biomedical applications (Huang et al., 2013) and printed electronic applications (Hoeng et al. 2016). It has been proved that the nanocellulose coated with fibre/paper substrates increased the barrier and mechanical properties (Aulin et al. 2010). The barrier properties of paper-based packaging can be tailored by applying layer of either synthetic or natural polymer using coating process (Khwaldia, K et al, 2010; Lavoine, N et al, 2014). The previous studies confirmed that cellulose fiber coating on the paper based substrates substantially improved their barrier and surface properties (Dufresne et al. 2013) (Khwaldia et al. 2010). The cellulose nanofibre could be applied either on the paper or paperboard by several techniques such as solvent casting (Rastogi et al. 2015), dispersion coating (Aulin et

al. 2010), foam coating, bar and blade coating (Kjellgren et al. 2006), and vacuum filtration.

Extrusion coating is only suitable for coating of thermoplastic polymers. Given that cellulose nanofiber is not thermoplastic, it can only be suitable as a coating formulation by either dissolving cellulose nanofiber in a suitable solvent (i.e., solvent coating/casting), or dispersing the polymer in solvent (i.e., dispersion coating) (Rasal et al. 2010; Cheng et al. 2015). In dip coating, the coating thickness on the paper is difficult to control (Rastogi et al. 2015). Size press is not able to significantly alter papers properties as Micro-fibrillated cellulose (MFC) coat weight barely reached 4 g/m<sup>2</sup> after ten successive MFC layers on the paper. The bar coating on the paper board was found not to substantially enhance its barrier properties, however did increase stiffness while reducing compressing strength (Lavoine et al. 2014). In the dispersion coating process or lithographic printing, nanocellulose with multilayered resin coated on the paper board is proved to decrease their water vapour permeability (Aulin et al. 2013). MFC and shellac were coated on the paper and paper board using a bar coater or a spray coating technique to enhance its barrier properties. After the coating, the air permeance of the paperboard and papers decreased with a multilayer coating of MFC and shellac. The oxygen transmission rate decreased several logarithmic units and the water vapor transmission rate (WVTR) (6.5 g/m<sup>2</sup>/day) reached values considered as high barrier in food packaging (Hult et al, 2010).

Although above mentioned conventional processes offers some advantages, however they possess serious limitations such as batch process and limitations in high coat weight on the paper to achieve good barrier properties. Therefore, spraying is a potentially promising approach for the preparation of coating of nano cellulose on the paper (Kinnunen-Raudaskoski, et al., 2014) (Kumar et al, 2016). This is an alternative technique for making composite laminates onto the paper (Beneventi et al., 2014). This has some significant advantages such as contour coating and contactless coating with the base substrate. The topography of the surface of the base substrate does not influence on the coating process. It is a novel technique for creating barrier film on the base surface in a rapid manner. The laboratory scale spray coating of micro fibrillated cellulose on different kinds of paper substrate enhances the barrier and mechanical properties of the spray coated sheet (Beneventi et al., 2014). However, after spraying, they used vacuum filtration which is like the conventional paper making process to remove the excess water. Therefore, it leads to time consuming process. Mirmehdi et al reported that the spraying of CNF on the writing paper and printing paper enhance tensile and barrier performance of the paper (Mirmehdi et al, 2018). The cellulose nanofiber coating on the paper quickly to create barrier layers via spray coating. Therefore, it has potential for scale up in a continuous mode. The aim of this research is to develop spraying process as a rapid and flexible method to coat cellulose nanofibre on the paper and deals production of CNF

barrier layers via the spraying process and their characterization such as mechanical and barrier properties.

## **2. Materials and methods**

CNF used as the generic term for the cellulose nanomaterials used. The CNF used was supplied from DAICEL Chemical Industries Limited (Celish KY-100S) at 25 wt. % solid content. DAICEL CNF (Celish KY-100S) has cellulose nanofibrils with an average diameter of 73 nm with a wide distribution of fibre diameter, a mean length of fibre around 8µm and an average aspect ratio of  $142 \pm 28$ . DAICEL KY-100S is prepared by micro fibrillation of cellulose with high-pressure water. The crystallinity index of DAICEL cellulose nanofiber was measured to be 78%. CNF suspensions were prepared using by diluting the original concentration of 25 wt. % to 0.25 wt. % to 1.5 wt. % with de-ionized water and disintegrating for 15,000 revolutions at 3000 rpm in a disintegrator.

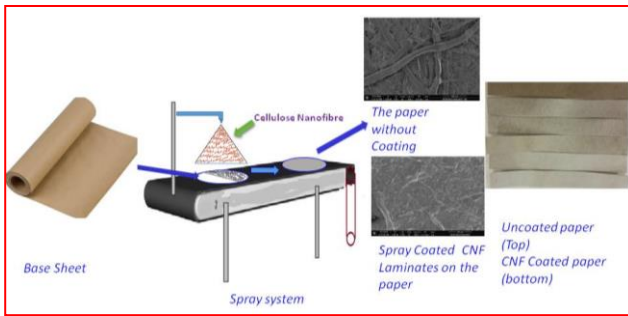
The viscosity of the cellulose fiber suspension is evaluated to find the sprayable concentration of CNF suspension. CNF sample was used at consistencies ranging from 0.25 to 2.0 wt. %, prepared by diluting the original concentration of 25 wt. % with distilled water and mixing for 15,000 revolutions in a disintegrator. The viscosity of the CNF suspension was evaluated by the flow cup method which evaluates the process of coating fluid flow through an orifice to be used as a relative measurement of kinematic viscosity expressed in seconds of flow time in DIN-Sec.

### **Spraying cellulose nanofiber suspension on the paper substrates**

The spray pattern is elliptical and the distance between spray nozzle and paper substrate is  $20 \pm 2$  cm. The coating of CNF on the paper substrate is one layer. The spray coated sheet is dried in the air drying under a standard laboratory conditions. The experimental set is shown in Figure 2. The spray coated CNF on the paper substrates were dried in the open air with specific care in the standard laboratory conditions. The dried CNF layers on the paper substrates used for various characterizations such as surface topography, basis weight, barrier properties and mechanical properties.

### **SEM investigation**

The spray coated paper (4mm x 4mm) is fixed on the stab using carbon tape and blowed with Nitrogen to remove the any dust or any loose material on the sample and then coated with Iridium with a maximum thickness of 10µm. Moreover, the iridium coated samples are blowed off with Nitrogen to remove any dust and loose materials on the sample before loading into the FEI-NOVA Nano SEM 450 (Jisheng Ma, 2015).



**Figure 1:** Spray Coating Experimental Set up for producing CNF barrier layers on the paper

Cellulose nanofiber is a biodegradable and delicate material in nature and highly susceptible to high accelerating voltage. Therefore, the parameters for collecting micrograph are optimized. The surface morphology and topography of the spray coated paper was characterized using FEI-NOVA Nano SEM 450. Mode 1: This mode is used for collecting the low resolution micrograph at 100  $\mu\text{m}$  and this micrograph is ideal for investigating the survey of the surface of the cellulose nanofibre coated sheet and the roughness of the coated surface. The optimized parameters for high voltage and spot size are 3 KV and 2.00 respectively. The working distance and aperture size are 5 mm and 6 (30 mm). Mode 2: This mode is used for collecting the micrograph at 1 $\mu\text{m}$  and 10 $\mu\text{m}$  (high resolution (UHR) imaging) and this micrograph is ideal for investigating the fibre orientation and size of the fibres and pores in the surface of the spray coated surface. The optimized parameters for high voltage and spot size are 3 KV and 2.00 respectively. The working distance and aperture size are 5 mm and 6 (30 mm).

#### Basis weight and thickness of the CNF coating on the paper

The basis weight (g/m<sup>2</sup>) of spray coated CNF laminates on the paper substrates was calculated by dividing the weight of the sheet, after 4 hours drying in the air oven at a temperature of 105 °C, by the paper area. The thickness of the spray coated CNF laminates on the paper substrates was determined using a Thickness Tester Type 21 from Lorentzen & Wettre AB, Stockholm, Sweden. The thickness was evaluated at fifteen points and averaged. The thickness was measured according to TAPPI T 411, 2015.

#### Air permeability

The air permeance of dried NC films was measured with an L&W air permeance tester with an operating range from 0.003 to 100  $\mu\text{m}/\text{Pa}\cdot\text{s}$ . The mean value of air permeance evaluated from 3 different areas of each CNF laminated paper was reported. The Technical Association of the Pulp and Paper Industry (TAPPI) standard T 460 is used to measure the air permeance of the films.

#### Oxygen Transfer Rate

The air permeability data was used to calculate the oxygen transfer rate of the CNF coated samples. It was evaluated by the air permeability data divided by 4 and gives the OTR.

#### Surface properties

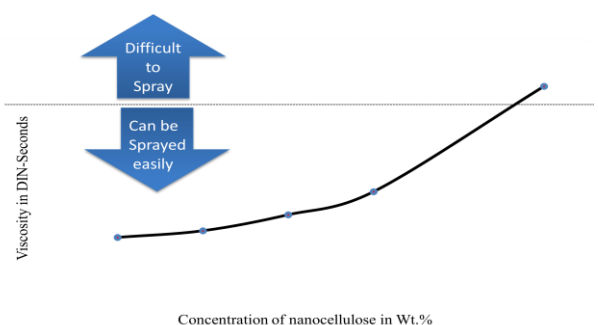
The surface roughness of the spray coated CNF laminates on the paper substrates was evaluated by Parker Print Surface (PPS) Instrument (TMI 58-06 Parker Print-Surf -Single Head). This method was based on the air leakage across the paper. The measurement was performed as per the standard of TAPPI T555/ASTM D 3786/ ASTM D 774/ BS 4768. In this instrument, the range of surface roughness evaluation vary from 0.20 to 6.50  $\mu\text{m}$  at normal conditions, 6.0 to 15.0  $\mu\text{m}$  at higher conditions. The preset clamp pressure used for measuring roughness of the paper substrates was 2000 Kpa.

#### Mechanical properties

The strength of spray coated CNF laminates on the paper substrates were evaluated by an Instron model 5566 using test specimens of 100 mm length and 15 mm width, conditioned for 24 hours at 23°C and 50% RH before dry tensile testing based on the Australian/New Zealand Standard AS/NZS 1301.448S-2007. All thickness and tensile tests were done at 23°C and 50 % RH. The samples were tested at a constant rate of elongation of 10mm/min. The mean value was obtained from six to seven valid tests and the error bars in the plots indicate standard deviation.

### 3. Results and discussion

In the present work, a novel approach was developed using spray coating technique to produce CNF lamination with excellent air barrier properties. CNF vary from 0.5wt. % to 1.5 wt. %, coat weight also increased from 2.9 $\pm$ 0.7 to 29.3 $\pm$ 6.9 g/m<sup>2</sup>. As a result, the air permeability of composite was decreased 0.78 $\pm$ 0.17 to <0.0030  $\mu\text{m}/\text{Pa}\cdot\text{s}$ . Scanning electron microscopy (SEM) studies of spray coated paper confirms that the surface pores in the paper substrates are filled with sprayed cellulose nanofibres and forms a continuous film on the surface of the substrate. These are the probable reasons for the reduction of air permeability of composites.



**Figure 2:** Viscosity of CNF suspension

Figure 2 confirms the limitation of CNF suspension to be sprayed on the paper substrates. The viscosity increased with solid/ fibre content in the suspension. The efflux time < 30 sec confirms the sprayable concentration of CNF for coating operation. The viscosity of 1.5 wt. % CNF suspension is  $32.18 \pm 0.94$  DIN Sec predicted by dip cup method. It is quite challenging to predict the efflux time and viscosity of CNF suspension beyond the concentration of 1.5 wt. %. CNF suspension could form a gel like structure and behave shear thinning rheology even at low concentration of CNF in the suspension. The viscosity of CNF suspension increase with fibers content (Iotti et al, 2011).

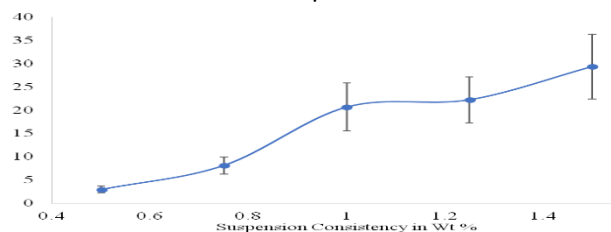
The rheological properties of CNF suspension is influenced by fibre morphology, orientation and aggregation. The viscosity of suspension increases with fibre aspect ratio and becomes substantially higher for high suspension concentration (Taheri et al, 2015). When the CNF suspension concentration is higher than 2.00 wt. %, CNF suspension has lost its fluidity, becomes like stiff gel and also viscosity is higher than 32.18 DIN Sec after dispersion in water after disintegration of fibres. Onwards of this concentration above 2 wt. %, CNF suspension behaves completely as a viscoelastic fluid and formation of network of entangled cellulose fibrils which causes gel-like behavior (Karppinen et al, 2011; Moberg et al, 2012). The spraying high solid content suspension is really challenging because there is a more chance of clogging the nozzle. Furthermore, high shear force is required to pump and spray the high fibre content of the slurry.

**Effect of suspension consistency on basis weight**

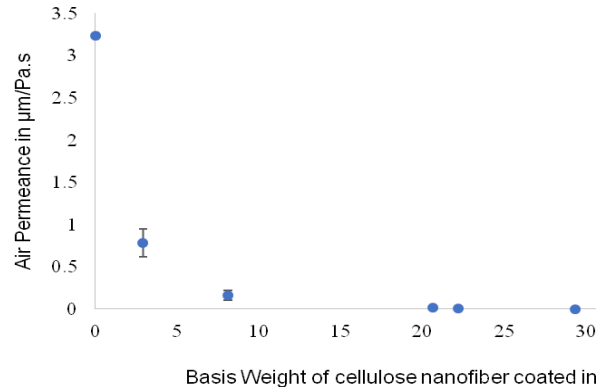
The figure 3 shows the effect of suspension concentration on the coat weight. Using lab scale spray coating, the maximum of 25-35 g/m<sup>2</sup> on the paper is spray coated with concentration of 1.5 wt. % of Micro fibrillated cellulose. At this concentration of spray coating of CNF on the paper, it forms film over the surface and this film acts as barrier materials.

**Effect of basis weight of the coating on the paper on air permeability**

The figure 4 shows the effect of coating weight on the paper on air permeance. The basis weight of the coating on the paper increased with suspension concentration of CNF and after 1 wt. % CNF concentration, the barrier properties of the coated sheet is enhanced. Additionally, the air permeance of the spray coated sheet drastically reduced from 3.5 to < 0.003  $\mu\text{m}^2/\text{Pa} \cdot \text{sec}$ .

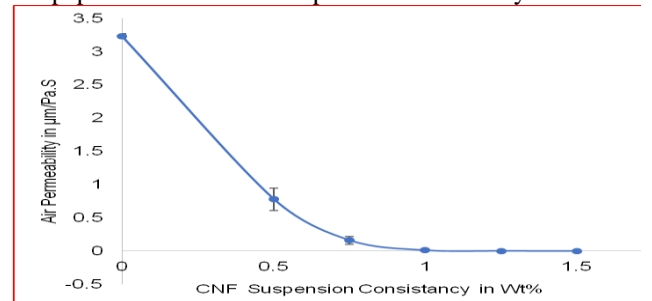


**Figure 3:** Plot of coat weight on the paper against suspension consistency



**Figure 4:** Basis weight of CNF coat vs. air permeance of the substrates

Figure 5 confirmed the effect of CNF suspension consistency on the air permeability of CNF coated paper. The plot proved that 1 wt. % CNF coating on the paper gives completely impermeable against air. This suspension consistency of CNF comes under sprayable conditions for coating on the paper. At this 1 wt. % coating, CNF not only fills the surface pores of the papers and forms a barrier layer or laminates on the paper. This film can be acting as barrier to air and other gaseous substances. Below 1 wt. % coating, CNF can fill the surface pores of the paper only and beyond 1 wt. % CNF coating, film formed on the paper acts as barrier layers. The limitations in spray coating of 1.25 wt. % and 1.5 wt. % CNF on the paper was separation of CNF barrier layers from the paper after drying. The thickness of CNF coat on the paper increased with suspension consistency.



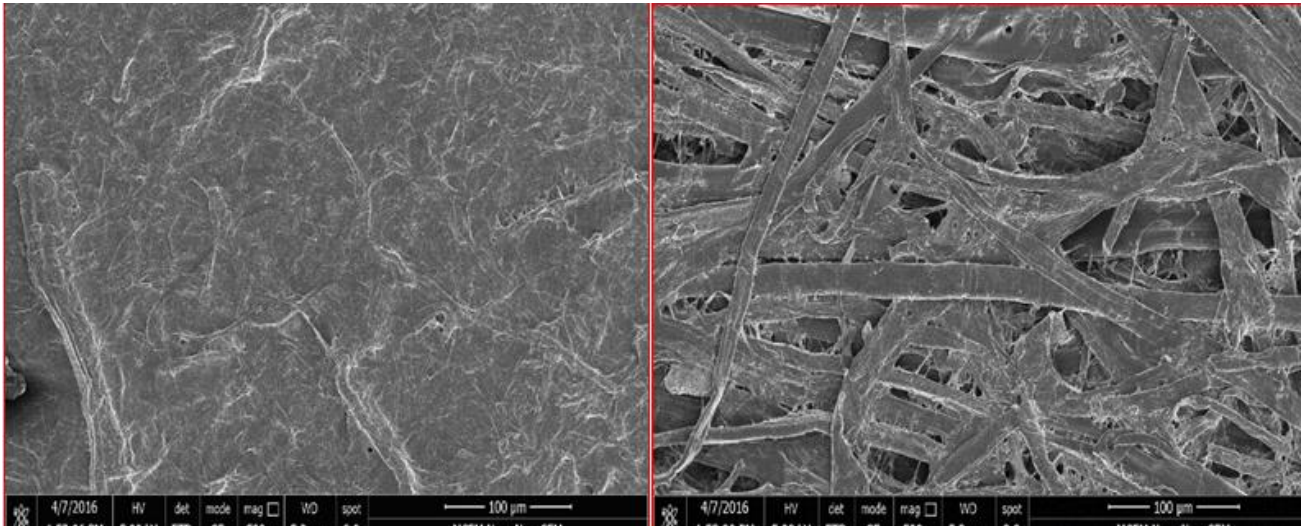
**Figure 5:** Air permeability vs. suspension consistency of CNF

Beneventi, D et al, 2015 reported that the basis weight of the coat weight varied from 4g/m<sup>2</sup> to 40 g/m<sup>2</sup>. It is reported that the coat weight on the paper substrates was tailored by the speed of the conveyor in the experimental set up. The CNF concentration was fixed in their work and at 4g/m<sup>2</sup> coat weight, CNF clogg the pore of paper substrates and thin film formation on paper substrates at 6 g/m<sup>2</sup>. As a result, the air permeance of the paper substrates was reduced. Mirmehdi et al reported that the coat weight achieved via spraying CNF on the writing and printing paper was 3.7 g/m<sup>2</sup> to 9.9 g/m<sup>2</sup> with CNF suspension consistency 1.4 wt.% and 1.7 wt.% (Mirmehdi et al., 2018). In the current work, the coat weight varied from  $9 \pm 0.7$  to  $29.3 \pm 6.9$  g/m<sup>2</sup> via tailoring solid content in CNF suspension from 0.5 wt.% to 1.5 wt. %.

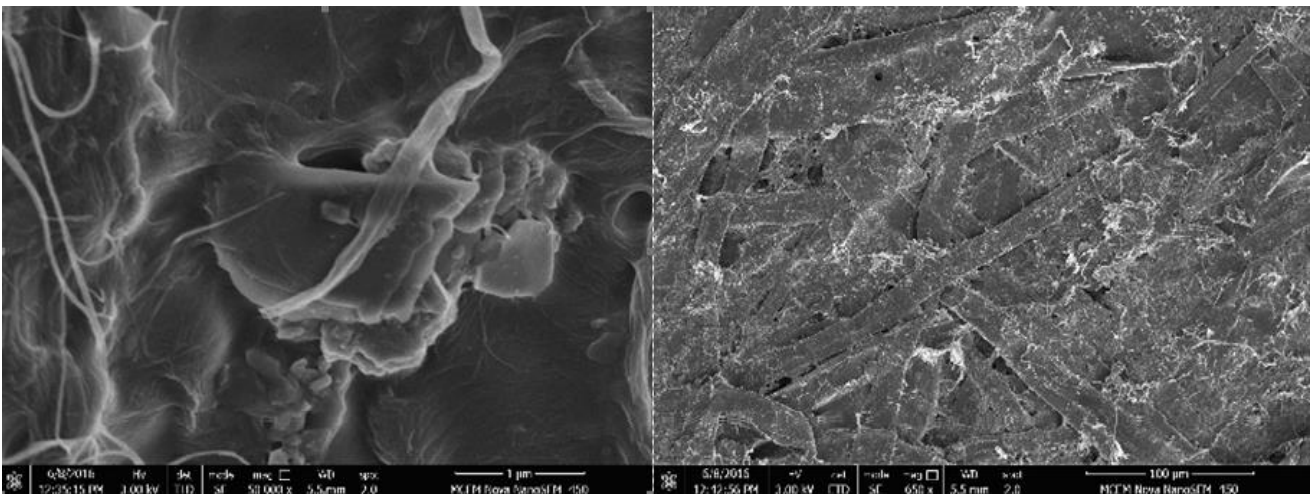
### SEM studies on coated surface

The figure 6 shows the micrograph of the spray-coated paper with 1.25 wt. % of CNF at low magnification. The micrograph (100µm) shows the deposited cellulose fibres clumps and fibres on the surface of the paper. It also confirmed the different size of the fibre entangled with cellulose fibres clumps on the surface. Moreover, the micrograph (100µm) confirms the complete coverage of

micro-fibrillated cellulose coating formulation on the paper. When compared to the micrograph (100 µm) of the uncoated paper, the coated paper showed that the coating formulation filled many surface pores and void space between the cellulose fibres. The 1µm micrograph confirms the filling surface pores by the cellulose nanofiber and forming the barrier film on the paper substrates.



**Figure 6:** SEM micrographs of uncoated and 1.25 Wt. % CNF coated Paper



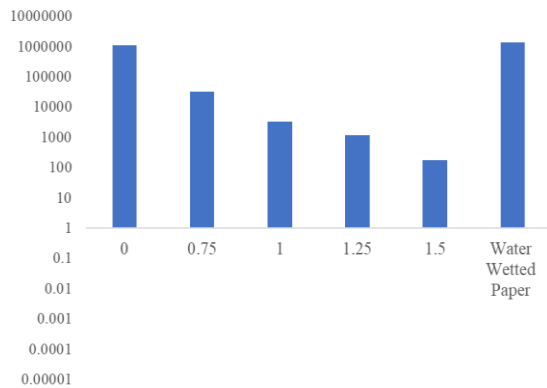
**Figure 7:** High and Low magnifications of 1.25 wt. % CNF coated Paper

Beneventi, D et al (2015) reported the topography of wet sprayed CNF on the paper substrates was evaluated via optical microscopy and confirmed that pores are clogged with CNF and formed a continuous barrier film on the paper substrates. Mirmehdi et al revealed that the microstructure of the spray coated CNF on the writing and printing paper was the reduction of paper porosity via CNF coating. The drastic changes in the microstructure of CNF coated paper offers the reduction of water vapor transfer rate and oxygen transfer rate via filling surface pores and forming film on the paper surface (Mirmehdi et al, 2018).

### Oxygen transfer rate

Figure 8 shows the effect of CNF coating on the oxygen transfer of paper substrates through the evaluation of air permeability. The oxygen permeability of the paper substrate has been reduced drastically with coating of CNF on the paper substrates. As discussed earlier, CNF fills the surface pores of the paper substrates and reducing the air passage or oxygen permeance via blocking the surface pores with CNF. The figure 09 shows the high oxygen transfer rate of water wetted paper via spraying. During the wetting of the paper, the fibres are loosened and resulting the widening of the surface pores in the

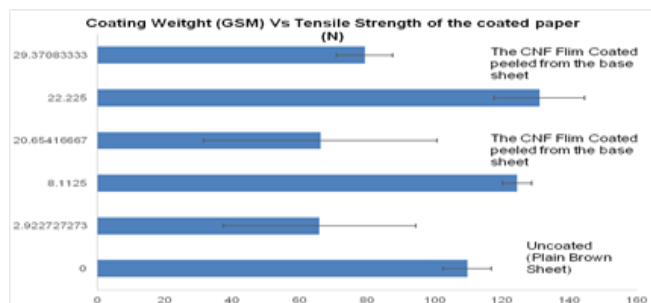
paper substrate, resulting the high air passage across the paper.



**Figure 8:** Oxygen Transfer Rate of CNF coated packaging paper

Mirmehdi et al., reported the effect of CNF coating on the OTR of coated paper which has high value around (155,000 cm<sup>3</sup> m<sup>-2</sup> 24 h<sup>-1</sup>) and crossing the measurement range in the instrument. Normally, paper substrates is not good barrier against oxygen (Mirmehdi et al., 2018). Due to limitations in spray coating process, this method is unable to meet the good oxygen barrier such as OTR value of 17 ml m<sup>-2</sup> day<sup>-1</sup> reported by Syverud, K. et al., 2008. The spray coating of CNF to meet good oxygen barrier of the paper can be improved by multiple pass coating and spraying the highly homogenized CNF via high pressure homogenization.

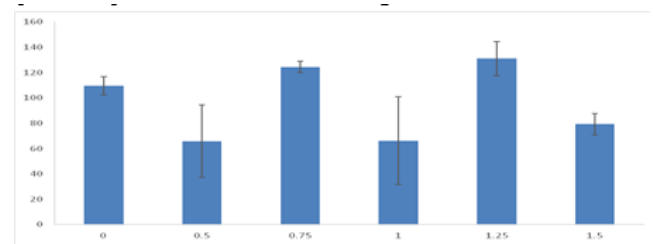
### Mechanical properties



**Figure 9:** Tensile strength of CNF coated paper

Figure 10 shows tensile strength of CNF coated paper and the effect of CNF coating has been investigated. The coating of CNF on the paper normally increased the strength of CNF laminates on the paper. Figure 10 concludes that an increase of strength of CNF laminated paper was seen, however, there was drop in strength when increased CNF concentration for coating. There was CNF barrier layer formed on the paper at higher CNF coating and these layers are not adhesive on the paper and separated from the paper. When specimen keep in Universal Testing Machine (Instron), The CNF layer has been broken down and the paper was broken under pulling in the measurement of tensile strength in Instron Instrument. This phenomenon has been observed in the stress – strain curve of the CNF laminates. Up to coating

of 0.75 wt. % of CNF on the paper, the mechanical strength of CNF laminated paper was improved. It has been shown in Figure 10.

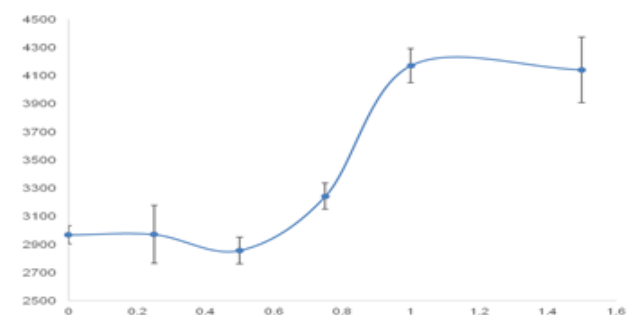


**Figure 10:** Suspension Consistency Vs Tensile Strength of the coated paper

Beneventi, D et al, 2015 also reported the strength of the wet sprayed CNF coat on the paper substrates was increased. Beneventi, D et al (2015) commented that the CNF coat weight on the paper substrates was transitioned from plastic behavior at lower coat weight to brittle behavior at higher coat weight when CNF coat was homogeneous and thick layer on the paper surface. In the current work, the mechanical strength also increased at low coat weight and barrier film broken due to brittle nature at higher coat weight. Tensile strength of CNF coated paper depends on the coat weight and thickness on the paper substrate. Increase in CNF coat weight and their thickness on the paper increase the tensile strength of the paper. 18 % of tensile strength of the paper after CNF coating increased than that of uncoated paper. This is the case for writing and printing paper substrates (Mirmehdi et al, 2018). In the case of brown paper/packaging paper, tensile strength of the coated paper increased but fluctuated due to the poor adhesion of CNF lamination on paper and changes in the behavior of CNF coating from plastic to brittle nature (Beneventi et al, 2015).

### Surface properties

Figure 11 shows the effect of suspension consistency on the surface roughness of the paper. At lower concentration, the surface roughness of the CNF coated paper does not change with the uncoated paper. At higher concentration, the surface roughness of the coated paper increased with coating percentage. Beneventi, D et al, 2015 also reported that the surface roughness decreased from 1.5µm at 4 g/m<sup>2</sup> and 1.1µm at 40 g/m<sup>2</sup>.



**Figure 11:** Suspension Consistency Vs Surface Roughness

## 4. Conclusion

This work investigated a laboratory scale spraying cellulose nanofiber (CNF) on paper substrates to enhance their mechanical and barrier properties. Cellulose nanofiber (CNF) is a bio-based nano-material and diameter of fibre from 5 nm to 100 nm and length of several micrometers. A laboratory scale spray coating of CNF suspension used from 0.5 to 1.5 wt.% on the paper substrates was developed and the range of achieved coat weight and air permeability of coat weight on the paper substrate were  $2.9 \pm 0.7$  to  $29.3 \pm 6.9$  g/m<sup>2</sup> and  $0.78 \pm 0.17$  -  $< 0.003$   $\mu\text{m}^2/\text{Pa}\cdot\text{s}$  at a sprayable concentration from 0.5 to 1.5 wt.% of CNF suspension and the scanning electron microscopy studies of spray coated paper confirmed that the surface pores in the paper substrates are filled with sprayed CNF and formed a continuous film on the surface of the substrate that induces a drop in the air permeability of the paper substrate and increased its tensile strength of spray coated paper from  $109.7 \pm 7$  to  $131.1 \pm 13.4$  N. The developed spraying of CNF suspension on the paper substrate has excellent potential to produce a continuous film as a barrier layer on the paper substrate in a roll to roll converting process through a conceptualized spray coating process.

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