

## CLINICO-SOCIAL AND IMMUNOLOGICAL PROFILE OF ANTIRETROVIRAL NAÏVE CHILDREN LIVING WITH HIV IN TERTIARY CARE HOSPITAL, DELHI

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### ABSTRACT

**Introduction:** This study was undertaken to assess the clinical profile of children living with HIV at the time of their enrolment in an ART centre in Delhi. The study also attempts to understand association between clinical staging and immunological profile (CD4 count/percentage) in HIV infected children. The findings of this study may help policy makers to plan better health care of CLHIV in resource constrained country like India. The Objectives of the study were to assess the baseline clinico-social and immunological profile of HIV positive children before the start of Antiretroviral Therapy, to study clinico-social and morbidity profile of HIV positive children at the time of their enrolment in ART centre and to study the association between immunodeficiency and clinical staging of CLHIV.

**Methodology:** The present study, conducted between December 2012 and March 2013, is a retrospective case review of 83 antiretroviral naïve Children living with HIV aged 8 months to 13 years and attending paediatric ART clinic of a tertiary care hospital of Delhi. After the infection was established on serological grounds, information on socio-demographic, clinical and immunological profile was studied.

**Results:** Majority (62.7%) of CLHIV were boys. Both parents of CLHIV were found to be positive in 63.9% while mother was found to be positive in 69.9%. The most common route of HIV infection was mother-to-child transmission (69.9%), followed by transmission through blood/blood products (12.0%). Every three out of four children (71.1%) were in WHO clinical stage III or IV. Three out of four (74.7%) children presented with fever, one in two with cough (56.6%). Diarrhoea (56.6%), pneumonia (41.0%), popular pruritic eruptions (18.1%), candidiasis (16.9%) and tuberculosis (14.5%) were the most common opportunistic infections in these children. The most common signs present were hepatomegaly (81.9%), anaemia (78.3%) and lymphadenopathy (72.3%).

**Conclusion:** Mother to child transmission is the most common route of transmission in CLHIV. At enrolment more than half of the children were in clinical stage III&IV. Fever, cough, diarrhoea, weight loss, rashes were common morbidities of the children. Majority of the children had hepatomegaly, anaemia and lymphadenopathy.

**Key Words:** AIDS;HIV; Opportunistic Infections; Immunodeficiency

### INTRODUCTION

Globally, an estimated 35.3 (32.2–38.8) million people were living with HIV (PLHIV) in 2012, among which 3.2 million were children with a prevalence

of 0.8%.<sup>1</sup> It is now estimated that half of all new episodes of HIV transmission in children occur during the breastfeeding period, when the majority of HIV positive lactating women may not be receiving the prophylaxis necessary for prevention of mother to child transmission (PMTCT) of HIV. India has the third largest number of PLHIV and their estimated number in 2011 was 2.09million. Children less than 15 years of age accounted for 7% (0.145 million) of all HIV infections.<sup>2</sup> The proportional contribution of the number of children living with HIV (CLHIV) out of the total PLHIV population was estimated to be 6.3% in 2007 and 7% in 2011.<sup>3</sup>

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Dysfunction of immune system and resultant illnesses is more rapid in HIV infected children as compared to adults. HIV affects virtually all the systems of the body and presents with varied clinical manifestations. Children with AIDS present with disease patterns that are different in nature, severity and/or frequency as compared to immune-competent children. The clinical presentation varies with the degree of immune-suppression, ranging from asymptomatic infection to AIDS characterized by severe immuno-suppression and recurrent severe opportunistic infections.

This study was undertaken to assess the clinical profile of children living with HIV at the time of their enrolment in an ART centre in Delhi. The study also attempts to understand association between clinical staging and immunological profile (CD4 count/percentage) in HIV infected children. The findings of this study may help policy makers to plan better health care of CLHIV in resource constrained country like India.

## METHODOLOGY

The present study was conducted between December 2012 and March 2013 in ART clinic of Kalawati Saran Children Hospital (KSCH), a tertiary care hospital for children and the only pediatric centre of excellence for HIV in Delhi, since 2011. The study involved a retrospective case review of 83 antiretroviral naïve CLHIV between 8 months to 13 years of age attending the pediatric ART clinic of this hospital and resident of Delhi. After the HIV infection was established on serological grounds, information on demographic characteristics, clinical manifestation and immunological profile of the CLHIV was extracted from our data base using a standardized questionnaire. Clinical and immunological stage<sup>4</sup> were based on the WHO norms. WHO clinical stage 1 and clinical stage II were termed as early disease, while clinical stage III and IV as advanced disease. CD4 percentage was used to classify the immunological status of children below 5 years of age, while CD4 count was used in children aged 5 years or older.<sup>4</sup> Mode of HIV transmission was determined by establishing mother's HIV status, history of transfusion of blood or blood product and probable unsafe injection given to the children.

The diagnosis of tuberculosis was based on the WHO guidelines for National TB program for children<sup>5</sup>; cases were either smear positive or smear negative with clinical/radiological features were diagnosed to be TB positive. Other baseline investigations that were obtained were complete blood count, CD4 count, CD4 percentage, HBsAg and HCV assays. Anemia was defined using the WHO criteria: 6-59 months, <11g/dl; 5-11 years, <11.5g/dl; 12-14 years, <12g/dl.<sup>6</sup>

Data was collected after obtaining approval from the institutional protocol and ethical committee. All consecutive children attending the centre during the study period and residents of Delhi were eligible for inclusion in the study if their caregivers gave consent and study subjects who were more than 7 years gave assent. Collected data was transformed into variables, coded, entered and analyzed using SPSS version 12. All observations were in terms of mean, median, standard deviation, percentages and proportions. Tests of significance like chi square, t-test were applied for comparisons wherever required. P value less than 0.05 was considered statistically significant at 95% confidence level.

## RESULTS

Eighty three antiretroviral naïve children were enrolled in the study. The mean and median ages of the children at the time of HIV diagnosis were  $5.4 \pm 2.9$  years and 4.9 years (range 8 months to 13 years) respectively. There were 52 (62.7%) boys. Father was the head of the family in the majority of the subjects (68.7%), while one child was living in an NGO. Majority (74.7%) belonged to upper lower Socio-economic class according to Kuppuswami scale CPI 2013.<sup>7</sup> Twenty seven children (32.5%) were orphaned, of whom 16 (59.3%) and 11 (40.7%) were single and double orphans respectively. Both parents were found to be positive in 63.9% of the CLHIV while mother was found to be positive in 69.9% and father was positive in 64.5% of the children. In 13 (15.7%) CLHIV, siblings were also found to be positive. Mother was the primary caretaker in almost two-third of the children. Socio-demographic characteristics of CLHIV are shown in table 1.

**Table 1.** Socio-Demographic Characteristics of The HIV Infected Children (N=83)

Socio-demographic characteristics		Number	%
Age	Less than 5 years	42	50.6
	Greater than 5 years	41	49.4
Gender	Male	52	62.7
	Female	31	37.3
HIV status of parents	Mother positive	58	69.9
	Father positive	53	63.9
	Both parents positive	53	63.9
	One parent positive	5	6.0
	None positive	19	22.9
Orphaned	Siblings positive	13	15.7
	Yes	27	32.5
Primary care taker	No	56	67.5
	Mother	63	75.9
	Father	10	12.0
	Maternal relatives	6	7.2
	Paternal relatives	2	2.4
	Siblings	2	2.4

The most common route of HIV infection was mother-to-child (69.9%). Blood transfusion and probable unsafe injections contributed 12.0% and 6.0% respectively. 71.1% of the children were in advanced (WHO clinical stage III and IV) stage and were in moderate to severe immuno-compromised stage (table 2). The most common symptoms seen in these children were fever (74.7%), cough

**Table 2.** Mode of HIV Transmission, Clinical Staging and Immunological Staging In CLHIV (N=83)

Mode of HIV transmission		Number	%
Mother to child		58	69.9
Blood /Blood products transfusion		10	12.0
Probable unsafe injection		5	6.0
Unknown		10	12.0
Clinical Staging			
Early disease	WHO Clinical Stage I	3	3.6
	WHO Clinical Stage II	21	25.3
Advanced disease	WHO Clinical Stage III	33	39.8
	WHO Clinical Stage IV	26	31.3
Immunological Staging			
Not Immunodeficient		7	8.4
Mild Immunodeficient		17	20.5
Moderate Immunodeficient		17	20.5
Severe Immunodeficient		42	50.6

(56.6%) and weight loss/failure to gain weight (34.9%). Diarrhoea (56.6%), pneumonia (41.0%), popular pruritic eruptions (18.1), candidiasis (16.9%) and tuberculosis (14.5%) were the most common opportunistic infections seen in these children. Hepatitis B and C co-infections were seen in 6.0% and 2.4% of the children respectively.

Four out of five children were having hepatomegaly while anaemia was seen in three out of four children. Lymphadenopathy was seen in 72.3% of the children, mainly in cervical and axillary regions accounting for 57.8% and 43.4% of cases. Clinical features of CLHIV are shown in table 3. Mean CD4 % in children less than 5 years (n=42) was  $14.9 \pm 6.7$  while mean CD4 count in children aged 5 years and above (n=41) was  $332.9 \pm 224.6$  cells/mm.<sup>3</sup> (table 4).

## DISCUSSION

In the present study, approximately two-thirds (62.7%) of the study subjects were boys. Proportion of HIV positive boys in other studies in India was also more than 50% (63.4% -76%).<sup>8,9,10,11</sup> According to National AIDS Control Organisation (NACO), mother to child transmission (MTCT) is the primary route of transmission for HIV among children. It is estimated that without any intervention, the risk of transmission of HIV from infected mother to her child is between, 20% to 45%.<sup>12</sup>

In majority (69.9%) of the study subjects, mothers were HIV positive, which is similar to findings in an earlier study conducted in New Delhi.<sup>9</sup> This clearly shows that MTCT is the most common route of transmission. Hence preventive strategies need to be strengthened for preventing MTCT. Both parents were found to be HIV positive in nearly three fourth of the study subjects. Similar finding (74%) was seen in a study conducted in Surat<sup>13</sup>, India while in Chennai<sup>14</sup>, a relatively lower proportion (38%) of HIV infected parents was observed indicating that mode of transmission may vary from place to place. Another study conducted by Okomo U, et al in West Africa<sup>15</sup>, parents of 10.8% of children were HIV positive.

At the time of the study, both parents were alive in almost 65.6% of the study subjects while approximately 13.3% children had lost both parents. These findings were almost similar to another

Table 3. Clinical Features Of CLHIV Before Art Initiation (N=83)*					
Clinical features	N (%)	Immunodeficiency		CI=95%	
		No to Mild (n=24)	Moderate to Severe (n=59)	p-value	OR
<b>Symptoms</b>					
Fever	62 (74.7)	16	46	0.2	0.5 (0.2-1.6)
Cough	47 (56.6)	11	36	0.2	0.5 (0.2-1.4)
Weight loss/failure to gain weight	29 (34.9)	8	21	1.0	0.9 (0.3-2.5)
Rashes	26 (31.3)	9	17	0.4	1.5 (0.5-4.0)
Ear discharge	25 (30.1)	6	19	0.6	0.7 (0.2-2.1)
<b>Opportunistic infections</b>					
Diarrhea	47 (56.6)	8	39	0.0	0.3 (0.1-0.7)
Pneumonia	34 (41.0)	7	27	0.2	0.5 (0.2-1.4)
Popular pruritic eruptions	15 (18.1)	7	8	0.1	2.6 (0.8-8.3)
Candidacies	14 (16.9)	2	12	0.3	0.4 (0.1-1.7)
Tuberculosis	12 (14.5)	3	9	1.0	0.8 (0.2-3.3)
<b>Other signs</b>					
Hepatomegaly	68 (81.9)	17	51	0.1	0.4 (0.1-1.2)
Anemia	65 (78.3)	12	53	0.0	0.1 (0.0-0.3)
Lymphadenopathy	60 (72.3)	16	44	0.6	0.7 (0.2-1.9)
Splenomegaly	48 (57.8)	13	35	0.8	0.8 (0.3-2.1)
Hepatosplenomagaly	48 (57.8)	13	35	0.8	0.8 (0.3-2.1)
Failure to thrive	10 (12.0)	2	8	0.7	0.6 (0.1-2.9)
Developmental delay	8 (9.6)	2	6	1.0	0.8 (0.2-4.3)

\*multiple response

Table 4. clinical staging and opportunistic infections with respect to immunodeficiency in CLHIV (n=83)						
WHO clinical stage	Number (%)	CD4		WHO classification of Immunodeficiency		p-value
		Mean	SD	Not significant to Mild	Moderate to Severe	
<b>In children less than 5 years (n=42)*</b>						
<b>CD4 Percentage</b>						
Early	13 (31.0)	18.9	7.4	7	6	0.00
Advanced	29 (69.0)	13.1	5.7	3	26	
<b>In children greater than 5 years (n=41)**</b>						
<b>CD4 Count</b>						
Early	11 (26.8)	586.5	232.7	10	1	0.00
Advanced	30 (73.2)	239.9	132.7	4	26	
<b>Opportunistic Infections (N=83)***</b>						
<b>CD4 Percentage</b>						
0-2	38(45.8)	16.2	7.4	6	32	0.04
≥ 3	45 (54.2)	12.2	6.0	1	44	

\* there was statistically significant association seen between clinical stage and immunodeficiency (CI=95;p=0.00); also there was statistically significant association between clinical staging and mean CD4 % (p=0.02)

\*\* there was statistically significant association seen between clinical stage and immunodeficiency (CI=95;p=0.00); also there was statistical significant association between clinical staging and mean CD4 count (p=0.00)

\*\*\* there was significant statistical association seen between immunodeficiency and number of opportunistic infections (p=0.04); also there was statistical significant association between the number of opportunistic infections and mean CD4 % (CI=95;p=0.00)

study in Delhi<sup>9</sup> where both parents were alive in 58% instances and had expired in case of 8% of children. However in a study conducted by Patel et al<sup>13</sup> both parents were alive in 40.8% of the cases, whereas one in four children had lost both parents. In another study by Pol, et al<sup>10</sup> (Karnataka, 2007) 42% of children had single parent and 12.67% had lost both parents. Such differences can be attributed to availability, accessibility and utilization of ART services in differential study settings. The increased life expectancy of PLHIV with ART could also be one of the reasons for variation in these findings.

Loss of parents means not only loss of social security for these children but also adverse upbringing of children emotionally and financially. Nearly a third (29.1%) of children had lost their fathers, affecting the family economically which may have influenced medical treatment and regular follow up. These observations emphasize the family dimensions of the HIV infections. The increasing number of children orphaned due to HIV/AIDS is an emerging problem in many developing countries.<sup>9,10,13,15</sup>

As the most common route of transmission was vertical (MTCT), the gender distribution of CLHIV should have been almost equal (at least in the same proportion as the sex ratio at birth). Higher proportion of boys (62.7%) could be due to low level of care and lower utilization of services for the infected girls. HIV infection in children is primarily restricted to perinatal transmission or is transfusion acquired. The present study has shown similar results, where MTCT was the most common route of transmission seen in 69.9% of the study subjects. Similar observations were made in other studies also.<sup>25</sup>

In spite of the fact that mandatory screening of donated blood for HIV antibodies has been in force since 1993, it is seen that 12% of the study subjects had acquired HIV through transfusion of blood and blood products. However other studies,<sup>11,16,17</sup> observed a higher percentage of children who had acquired HIV infection through blood/blood products (19.3% - 39%). Presuming adequate screening for anti-HIV antibodies, transmission of HIV may still be possible during the window period. This calls for more prudent usage of blood or blood products. Feasibility of antigen assays or

PCR assays to screen blood products for checking acquisition of transfusion mediated HIV also needs to be explored. Stringent quality control practices need to be instituted in HIV testing laboratories.

In the present study, it was seen that nearly three-fourth (71.1%) of the study subjects were categorized as having moderate to severe disease (WHO clinical stage III & IV). Similar observations were made by number of researchers<sup>13,18,19,20,21</sup> who reported a significant proportion of children (started on HAART) to be suffering from moderate or severe form of disease (31% - 76%). 11 out of 83 (13.3%) children were ambulatory, one was bedridden, while the rest of the study subjects (85.5%) were in working functional status. The signs, symptoms and opportunistic infections were almost similar to those reported earlier from developed and developing countries, including India<sup>11,17,22,23,24</sup>, although small variations can be attributed to the wide spectrum of disease all over the world. Management of these infections should be ensured in the ART clinics.

One of the important observations in this study was that CD4 percentage and CD4 count declined with deterioration in the WHO clinical stages of HIV infection (table 3). Similar findings were also observed in a few other studies.<sup>25,26</sup> This observation indicates that CD4 values are reliable marker of clinical status. With deteriorating immunity, there is worsening of clinical staging and increase in opportunistic infections. Children with lower CD4 values had more opportunistic infections as compared to children with better values of CD4 count who had no or less opportunistic infections and these findings have been reported in other studies<sup>22,25,26</sup> CD4 estimation has been studied as a marker of deterioration of HIV status and it is also a measure of relative risk of developing opportunistic infections in HIV positive children. Therefore CD4 values can be considered as a reliable marker of HIV progression. However there is a need of further studies on CD4 estimation in relation to antiretroviral therapy.

**Limitations:** Since it was a cross-sectional study, the response of HAART on immunodeficiency and morbidity cannot be assessed. Also viral load as a predictor of illness have not been studied. Moreover the results of this study in limited setting in Delhi cannot be generalized. However, association



of immunological stage with clinical stage and opportunistic infections warrants further longitudinal studies in larger cohort.

**Recommendations:** As MCTC is a major route of acquiring HIV infection in children, emphasis must therefore be laid on PMTCT guidelines, investigations and treatment in pregnant mothers to prevent or reduce risk of HIV transmission to their children. There should also be more judicious usage of blood or blood products as even if we presume adequate screening for HIV infection, transmission may still be possible during the window period. Use of PCR assays or antigen assays for screening blood products before transfusion also needs to be considered. There should also be strict quality control practices in HIV testing laboratories and blood banks to prevent blood transfusion of HIV. Clinical manifestations and opportunistic infections of HIV infection are variable and mimic a number of other ailments. A high index of suspicion and appropriate investigation may help in early diagnosis. Training of paediatricians should also be organized on this subject for early diagnosis and management of HIV, related illnesses and opportunistic infections. Those children on treatment should be regularly followed up for drug adherence and treatment outcomes.

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