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On The Eneström-Kakeya Theorem

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Abstract

In this paper we present some interesting generalizations of Eneström-Kakeya type results concerning the location of zeros of a polynomial in the complex plane. We relax the hypothesis and put less restrictive conditions on the coefficients of the polynomial, and thereby generalize some classical results.

Keywords: polynomials, zeros, Eneström-Kakeya theorem

1. Introduction

The following result known as Eneström-Kakeya Theorem (for Reference see [1-3]) is well-known in the theory of distribution of zeros of polynomials.

Theorem A. If $P(z) = \sum_{j=0}^n a_j z^j$ is a polynomial of degree n such that

$$(1) \quad a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0,$$

then all the zeros of $P(z)$ lie in $|z| \leq 1$.

Joyal, Labelle and Rahman [4] dropped the restriction on the hypothesis that all the coefficients be positive and proved the following:

Theorem B. If $P(z) = \sum_{j=0}^n a_j z^j$ is a polynomial of degree n such that

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0,$$

then all the zeros of $P(z)$ lie in

$$|z| \leq \frac{a_n - a_0 + |a_0|}{|a_n|}.$$

More recently Aziz and Zargar [5] relaxed the hypothesis of Eneström Kakeya theorem and proved some interesting extensions of Theorem B. In fact they proved

THEOREM C. If $P(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0$, is a polynomial of degree n such that for some $k \geq 1$,

$$ka_n \geq a_{n-1} \geq \dots \geq a_2 \geq a_1 \geq a_0,$$

then all the zeros of $P(z)$ lie in

$$|z + k - 1| \leq \frac{ka_n - a_0 + |a_0|}{|a_n|}.$$

More recently, Shah and Liman [6] while assuming the coefficients of the polynomials to be complex numbers among other things proved the following generalization of Theorem C.

Theorem D. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial with complex coefficients. If $\operatorname{Re} a_j = \alpha_j$ and

$\operatorname{Im} a_j = \beta_j$ for $j = 0, 1, 2, \dots, n$, $a_n \neq 0$ such that for some $k \geq 1$

$$k\alpha_n \geq \alpha_{n-1} \geq \alpha_{n-2} \geq \dots \geq \alpha_1 \geq \alpha_0,$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0 > 0,$$

then all the zeros of $P(z)$ lie in

$$\left| z + \frac{\alpha_n}{a_n}(k-1) \right| \leq \frac{k\alpha_n - \alpha_0 + |\alpha_0| + \beta_n}{|a_n|}$$

In this paper, we prove some Enström-Kakeya type results which besides generalizing some earlier results proved in this direction, include Theorem B, Theorem C and Theorem D as special cases. We start by proving the following:

2. Theorems and Proofs

Theorem 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n . If $\operatorname{Re} a_j = \alpha_j$, $\operatorname{Im} a_j = \beta_j$ for

$j = 0, 1, 2, \dots, n$, $a_n \neq 0$ and if for some positive integer $\lambda \leq n$ and $k \geq 1$

$$k^{n-\lambda+1}\alpha_n \geq k^{n-\lambda}\alpha_{n-1} \geq k^{n-\lambda-1}\alpha_{n-2} \geq \dots \geq k\alpha_\lambda \geq \alpha_{\lambda-1} \geq \dots \geq \alpha_1 \geq \alpha_0$$

then all the zeros of $P(z)$ lie in

$$|z + k - 1| \leq \frac{\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{j=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + 2 \sum_{j=0}^n |\beta_j| \right\}}{|a_n|}.$$

If we take $\lambda = n$ we obtain the following :

Corollary 1.1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re} a_j = \alpha_j$, $\operatorname{Im} a_j = \beta_j$ for

$j = 0, 1, 2, \dots, n$, $a_n \neq 0$ and if for some $k \geq 1$

$$k\alpha_n \geq \alpha_{n-1} \geq \alpha_{n-2} \geq \dots \geq \alpha_1 \geq \alpha_0$$

then all the zeros of $P(z)$ lie in

$$|z + k - 1| \leq \frac{k\alpha_n - \alpha_0 + |\alpha_0| + 2\sum_{j=0}^n |\beta_j|}{|\alpha_n|}.$$

For $\lambda = n - 1$ and assuming all coefficients real and positive in Theorem 1, we obtain the following

Corollary 1.2: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n and if for some $k \geq 1$

$$k^2 a_n \geq k a_{n-1} \geq a_{n-2} \geq \dots \geq a_1 \geq a_0 > 0$$

then all the zeros of $P(z)$ lie in

$$|z + k - 1| \leq k + 2(k - 1) \frac{a_{n-1}}{a_n}$$

or equivalently

$$|z + k - 1| \leq k(2k - 1)$$

Remark 1.1: Theorem C is a special case of Theorem 1, if we take all coefficients real and $\lambda = n$. Further for all coefficients real, $\lambda = n$ and $k = 1$ we obtain Theorem B.

Theorem 2: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n . If $\operatorname{Re} a_j = \alpha_j$ and $\operatorname{Im} a_j = \beta_j$ for

$j = 0, 1, 2, \dots, n$, $a_n \neq 0$ and if for some positive integer $\lambda \leq n$ and $k \geq 1$

$$k^{n-\lambda+1} \beta_n \geq k^{n-\lambda} \beta_{n-1} \geq k^{n-\lambda-1} \beta_{n-2} \geq \dots \geq k \beta_\lambda \geq \beta_{\lambda-1} \geq \dots \geq \beta_1 \geq \beta_0$$

then all the zeros of $P(z)$ lie in

$$|z + k - 1| \leq \frac{\left\{ \beta_n - \beta_0 + |\beta_0| + (k - 1) \left(\sum_{i=\lambda}^n (\beta_j + |\beta_j|) - |\beta_n| \right) + 2 \sum_{j=0}^n |\alpha_j| \right\}}{|\beta_n|}$$

Next as a generalization of Theorem D, we prove

Theorem 3: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n . If $\operatorname{Re} a_j = \alpha_j$ and $\operatorname{Im} a_j = \beta_j$ for

$j = 0, 1, 2, \dots, n$, $a_n \neq 0$ and if for some positive integer $\lambda \leq n$ and $k \geq 1$

$$k^{n-\lambda+1} \alpha_n \geq k^{n-\lambda} \alpha_{n-1} \geq k^{n-\lambda-1} \alpha_{n-2} \geq \dots \geq k \alpha_\lambda \geq \alpha_{\lambda-1} \geq \dots \geq \alpha_1 \geq \alpha_0$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0 > 0$$

then all the zeros of $P(z)$ lie in

$$\left| z + \frac{\alpha_n}{a_n} (k - 1) \right| \leq \frac{\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k - 1) \left(\sum_{i=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + \beta_n \right\}}{|\alpha_n|}.$$

Remark 3.1: If we take $\lambda = n$ in above we obtain Theorem D.

Theorem 4: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n . If for some positive integer $\lambda \leq n, k \geq 1$ and $\mu \geq 1$

$$k^{n-\lambda+1} \alpha_n \geq k^{n-\lambda} \alpha_{n-1} \geq k^{n-\lambda-1} \alpha_{n-2} \geq \dots \geq k \alpha_\lambda \geq \alpha_{\lambda-1} \geq \dots \geq \alpha_1 \geq \alpha_0$$

$$\mu \beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0 > 0$$

then all the zeros of $P(z)$ lie in

$$\left| z + \frac{k\alpha_n + i\mu\beta_n}{a_n} - 1 \right| \leq \frac{\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{i=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + \mu\beta_n \right\}}{|a_n|}$$

If we take $\lambda = n$ in above we obtain the following:

Corollary 4.1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n . If for some positive integer $\lambda \leq n, k \geq 1$ and $\mu \geq 1$

$$k\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_1 \geq \alpha_0$$

$$\mu\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0 > 0$$

then all the zeros of $P(z)$ lie in

$$\left| z + \frac{k\alpha_n + i\mu\beta_n}{a_n} - 1 \right| \leq \frac{\{k\alpha_n - \alpha_0 + |\alpha_0| + \mu\beta_n\}}{|a_n|}$$

Theorem 5: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n . If for some positive integer $\lambda \leq n$ and $k \geq 1$

$$k^{n-\lambda+1} |a_n| \geq k^{n-\lambda} |a_{n-1}| \geq k^{n-\lambda-1} |a_{n-2}| \geq \dots \geq k |a_\lambda| \geq |a_{\lambda-1}| \geq \dots \geq |a_1| \geq |a_0|$$

and for some real β ,

$$|\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, \quad j = 0, 1, 2, \dots$$

then all the zeros of lie in

$$|z + k - 1| \leq k(\cos \alpha + \sin \alpha) + \frac{1}{|a_n|} \left\{ (k-1) \cos \alpha + (k+1) \sin \alpha \right\} \sum_{i=\lambda+1}^n |a_j| + (k-1) \sum_{i=\lambda}^{n-1} |a_i| + \frac{2 \sin \alpha}{|a_n|} \sum_{j=\lambda-1}^1 |a_j|.$$

Proof of Theorem 1: Consider a polynomial

$$F(z) = (1-z)P(z)$$

$$= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0$$

$$= \left\{ -\alpha_n z^{n+1} + (\alpha_n - \alpha_{n-1})z^n + \dots + (\alpha_1 - \alpha_0)z + \alpha_0 \right\}$$

$$+ i \left\{ -\beta_n z^{n+1} + (\beta_n - \beta_{n-1})z^n + \dots + (\beta_1 - \beta_0)z + \beta_0 \right\}$$

$$\begin{aligned}
 &= \{-\alpha_n z^n (z+k-1) + (k\alpha_n - \alpha_{n-1})z^n + (k\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots + (k\alpha_{\lambda+1} - \alpha_\lambda)z^{\lambda+1} \\
 &\quad + (k\alpha_\lambda - \alpha_{\lambda-1})z^\lambda + \dots + (\alpha_1 - \alpha_0)z + \alpha_0 - (k-1)(\alpha_{n-1}z^{n-1} + \alpha_{n-2}z^{n-2} \\
 &\quad + \dots + \alpha_{\lambda+1}z^{\lambda+1} + \alpha_\lambda z^\lambda)\} + i\{-\beta_n z^{n+1} + (\beta_n - \beta_{n-1})z^n + \dots + (\beta_1 - \beta_0)z + \beta_0\} \\
 &= \{-\alpha_n z^n (z+k-1) + (k\alpha_n - \alpha_{n-1})z^n + (k\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots + (k\alpha_{\lambda+1} - \alpha_\lambda)z^{\lambda+1} \\
 &\quad + (k\alpha_\lambda - \alpha_{\lambda-1})z^\lambda + \dots + (\alpha_1 - \alpha_0)z + \alpha_0 - (k-1)(\alpha_{n-1}z^{n-1} + \alpha_{n-2}z^{n-2} \\
 &\quad + \dots + \alpha_{\lambda+1}z^{\lambda+1} + \alpha_\lambda z^\lambda)\} - i\beta_n z^{n+1} + i\beta_0 + i\sum_{j=1}^n (\beta_j - \beta_{j-1})z^j\} \\
 &= z^n \left\{ -\alpha_n (z+k-1) + (k\alpha_n - \alpha_{n-1}) + (k\alpha_{n-1} - \alpha_{n-2})\frac{1}{z} + \dots + (k\alpha_{\lambda+1} - \alpha_\lambda)\frac{1}{z^{n-\lambda-1}} + (k\alpha_\lambda - \alpha_{\lambda-1})\frac{1}{z^{n-\lambda}} + \right. \\
 &\quad \left. + \dots + (\alpha_1 - \alpha_0)\frac{1}{z^{n-1}} + \alpha_0\frac{1}{z^n} - (k-1)\left\{\frac{\alpha_{n-1}}{z} + \frac{\alpha_{n-2}}{z^2} + \dots + \frac{\alpha_\lambda}{z^{n-\lambda}}\right\} - i\beta_n z + i\frac{\beta_0}{z^n} + i\sum_{j=1}^n (\beta_j - \beta_{j-1})\frac{1}{z^{n-j}} \right\}
 \end{aligned}$$

Let $|z| > 1$ so that $\frac{1}{|z|^{n-j}} < 1, j = 0, 1, 2, \dots$

$$\begin{aligned}
 F(z) &\geq |z|^n \left\{ |\alpha_n| |z+k-1| - \left[|k\alpha_n - \alpha_{n-1}| + \frac{|k\alpha_{n-1} - \alpha_{n-2}|}{|z|} + \dots + \frac{|k\alpha_{\lambda+1} - \alpha_\lambda|}{|z|^{n-\lambda-1}} + \frac{|k\alpha_\lambda - \alpha_{\lambda-1}|}{|z|^{n-\lambda}} + \right. \right. \\
 &\quad \left. \left. + \dots + \frac{|\alpha_1 - \alpha_0|}{|z|^{n-1}} + \frac{|\alpha_0|}{|z|^n} + |k-1| \left(\frac{|\alpha_{n-1}|}{|z|} + \frac{|\alpha_{n-2}|}{|z|^2} + \dots + \frac{|\alpha_{\lambda+1}|}{|z|^{n-\lambda-1}} + \frac{|\alpha_\lambda|}{|z|^{n-\lambda}} \right) \right] \right. \\
 &\quad \left. + |\beta_n| |z| + \frac{|\beta_0|}{|z|^n} + \sum_{j=1}^n (|\beta_j| + |\beta_{j-1}|) \frac{1}{|z|^{n-j}} \right\} \\
 &> |z|^n \left\{ |\alpha_n| |z+k-1| - \left[|k\alpha_n - \alpha_{n-1}| + |k\alpha_{n-1} - \alpha_{n-2}| + \dots + |k\alpha_{\lambda+1} - \alpha_\lambda| + |k\alpha_\lambda - \alpha_{\lambda-1}| + \right. \right. \\
 &\quad \left. \left. + \dots + |\alpha_1 - \alpha_0| + |\alpha_0| + |k-1| \sum_{j=\lambda}^{n-1} |\alpha_j| + |\beta_n| + |\beta_0| + \sum_{j=1}^n (|\beta_j| + |\beta_{j-1}|) \right] \right\} \\
 &= |z|^n \left\{ |\alpha_n| |z+k-1| - \left[|k\alpha_n - \alpha_{n-1}| + |k\alpha_{n-1} - \alpha_{n-2}| + \dots + |k\alpha_{\lambda+1} - \alpha_\lambda| + |k\alpha_\lambda - \alpha_{\lambda-1}| + \right. \right. \\
 &\quad \left. \left. + \dots + |\alpha_1 - \alpha_0| + |\alpha_0| + |k-1| \sum_{j=\lambda}^{n-1} |\alpha_j| + |\beta_n| + |\beta_0| + \sum_{j=1}^n (|\beta_j| + |\beta_{j-1}|) \right] \right\} \\
 &= |z|^n \left\{ |\alpha_n| |z+k-1| - \left[\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{j=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) \right\} + 2 \sum_{j=0}^n |\beta_j| \right] \right\}
 \end{aligned}$$

> 0 , if

$$|z + k - 1| > \frac{\alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{j=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}$$

This shows that those zeros of $F(z)$ for which $|z| > 1$ lie in

$$|z + k - 1| \leq \frac{\alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{j=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}.$$

But those zeros of $F(z)$ for which $|z| \leq 1$ already satisfy the above equation. We conclude that all the zeros of $F(z)$ and hence of $P(z)$ lie in the disc

$$|z + k - 1| \leq \frac{\alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{i=\lambda}^n (\alpha_i + |\alpha_i|) - |\alpha_n| \right) + 2 \sum_{j=0}^n |\beta_j|}{|\alpha_n|}$$

This completes the proof.

Proof of Theorem 2: The proof follows on the same lines of Theorem 1.

Proof of Theorem 3: Consider a polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0 \\ &= \{-a_n z^{n+1} + (\alpha_n - \alpha_{n-1})z^n + \dots + (\alpha_1 - \alpha_0)z + \alpha_0\} \\ &\quad + i\{(\beta_n - \beta_{n-1})z^n + \dots + (\beta_1 - \beta_0)z + \beta_0\} \\ &= \{-a_n z^{n+1} - \alpha_n z^n (k-1) + (k\alpha_n - \alpha_{n-1})z^n + (k\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots + (k\alpha_{\lambda+1} - \alpha_\lambda)z^{\lambda+1} \\ &\quad + (k\alpha_\lambda - \alpha_{\lambda-1})z^\lambda + \dots + (\alpha_1 - \alpha_0)z + \alpha_0 - (k-1)(\alpha_{n-1}z^{n-1} + \alpha_{n-2}z^{n-2} \\ &\quad + \dots + \alpha_{\lambda+1}z^{\lambda+1} + \alpha_\lambda z^\lambda)\} + i\{(\beta_n - \beta_{n-1})z^n + \dots + (\beta_1 - \beta_0)z + \beta_0\} \\ &= z^n \left\{ -a_n z - \alpha_n (k-1) + (k\alpha_n - \alpha_{n-1}) + (k\alpha_{n-1} - \alpha_{n-2}) \frac{1}{z} + \dots + (k\alpha_{\lambda+1} - \alpha_\lambda) \frac{1}{z^{n-\lambda-1}} \right. \\ &\quad \left. + (k\alpha_\lambda - \alpha_{\lambda-1}) \frac{1}{z^{n-\lambda}} + \dots + (\alpha_1 - \alpha_0) \frac{1}{z^{n-1}} + \alpha_0 \frac{1}{z^n} - (k-1) \left\{ \frac{\alpha_{n-1}}{z} + \frac{\alpha_{n-2}}{z^2} + \dots + \frac{\alpha_\lambda}{z^{n-\lambda}} \right\} \right. \\ &\quad \left. + i \left\{ (\beta_n - \beta_{n-1}) + \dots + (\beta_1 - \beta_0) \frac{1}{z^{n-1}} + \beta_0 \frac{1}{z^n} \right\} \right\} \end{aligned}$$

$$\begin{aligned}
 &= z^n \left\{ -a_n z - \alpha_n (k-1) + (k\alpha_n - \alpha_{n-1}) + (k\alpha_{n-1} - \alpha_{n-2}) \frac{1}{z} + \dots + (k\alpha_{\lambda+1} - \alpha_\lambda) \frac{1}{z^{n-\lambda-1}} \right. \\
 &\quad \left. + (k\alpha_\lambda - \alpha_{\lambda-1}) \frac{1}{z^{n-\lambda}} + \dots + (\alpha_1 - \alpha_0) \frac{1}{z^{n-1}} + \alpha_0 \frac{1}{z^n} - (k-1) \left\{ \frac{\alpha_{n-1}}{z} + \frac{\alpha_{n-2}}{z^2} + \dots + \frac{\alpha_\lambda}{z^{n-\lambda}} \right\} \right. \\
 &\quad \left. + i \left\{ (\beta_n - \beta_{n-1}) + \dots + (\beta_1 - \beta_0) \frac{1}{z^{n-1}} + \beta_0 \frac{1}{z^n} \right\} \right\}
 \end{aligned}$$

Let $|z| > 1$ so that $\frac{1}{|z|^{n-j}} < 1, j = 0, 1, 2, \dots$

$$\begin{aligned}
 |F(z)| &\geq |z|^n \left\{ \left| a_n z + (k-1)\alpha_n \right| - \left\{ \left| k\alpha_n - \alpha_{n-1} \right| + \frac{|k\alpha_{n-1} - \alpha_{n-2}|}{|z|} + \dots + \frac{|k\alpha_\lambda - \alpha_{\lambda-1}|}{|z|^{n-\lambda}} + \right. \right. \\
 &\quad \left. \left. + \frac{|\alpha_1 - \alpha_0|}{|z|^{n-1}} + \frac{|\alpha_0|}{|z|^n} + (k-1) \left(\frac{|\alpha_{n-1}|}{|z|} + \frac{|\alpha_{n-2}|}{|z|^2} + \dots + \frac{|\alpha_{\lambda-1}|}{|z|^\lambda} \right) \right\} \right. \\
 &\quad \left. + i \left(\left| \beta_n - \beta_{n-1} \right| + \dots + \frac{|\beta_1 - \beta_0|}{|z|^{n-1}} + \frac{|\beta_0|}{|z|^n} \right) \right\} \\
 &= |z|^n \left[\left| a_n \right| |z| + (k-1) \frac{|\alpha_n|}{|a_n|} - \left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{j=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + \beta_n \right\} \right]
 \end{aligned}$$

$> 0,$

if

$$\left| z + (k-1) \frac{\alpha_n}{a_n} \right| > \frac{\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{j=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + \beta_n \right\}}{|a_n|}$$

This shows that the zeros of $F(z)$, for which $|z| > 1$ lie in

$$\left| z + (k-1) \frac{\alpha_n}{a_n} \right| \leq \frac{\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{j=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + \beta_n \right\}}{|a_n|}.$$

But those zeros of $F(z)$ for which $|z| \leq 1$ already satisfy the above equation. We conclude that all the zeros of $F(z)$ and hence of $P(z)$ lie in the disc

$$\left| z + (k-1) \frac{\alpha_n}{a_n} \right| \leq \frac{\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{j=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + \beta_n \right\}}{|a_n|}$$

Proof of Theorem 4: Consider a polynomial

$$\begin{aligned}
 F(z) &= (1-z)P(z) \\
 &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\
 &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0 \\
 &= \{-a_n z^{n+1} + (\alpha_n - \alpha_{n-1})z^n + \dots + (\alpha_1 - \alpha_0)z + \alpha_0\} \\
 &\quad + i\{(\beta_n - \beta_{n-1})z^n + \dots + (\beta_1 - \beta_0)z + \beta_0\} \\
 &= \{-a_n z^{n+1} - \alpha_n z^n (k-1) + (k\alpha_n - \alpha_{n-1})z^n + (k\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots + (k\alpha_{\lambda+1} - \alpha_\lambda)z^{\lambda+1} \\
 &\quad + (k\alpha_\lambda - \alpha_{\lambda-1})z^\lambda + \dots + (\alpha_1 - \alpha_0)z + \alpha_0 - (k-1)(\alpha_{n-1}z^{n-1} + \alpha_{n-2}z^{n-2} + \dots + \alpha_{\lambda+1}z^{\lambda+1} \\
 &\quad + \alpha_\lambda z^\lambda)\} + i\{(\mu\beta_n + \beta_n - \mu\beta_n - \beta_{n-1})z^n + \dots + (\beta_1 - \beta_0)z + \beta_0\} \\
 &= z^n \left\{ (-a_n z - \alpha_n k + \alpha_n - i\mu\beta_n + i\beta_n) + (k\alpha_n - \alpha_{n-1}) + (k\alpha_{n-1} - \alpha_{n-2})\frac{1}{z} + \dots \right. \\
 &\quad \left. + (k\alpha_\lambda - \alpha_{\lambda-1})\frac{1}{z^{n-\lambda}} + (\alpha_1 - \alpha_0)\frac{1}{z^{n-1}} + \alpha_0\frac{1}{z^n} - (k-1)\left\{\frac{\alpha_{n-1}}{z} + \frac{\alpha_{n-2}}{z^2} + \dots + \frac{\alpha_\lambda}{z^{n-\lambda}}\right\} \right. \\
 &\quad \left. + i\left\{(\mu\beta_n - \beta_{n-1}) + \dots + (\beta_1 - \beta_0)\frac{1}{z^{n-1}} + \beta_0\frac{1}{z^n}\right\} \right\} \\
 &= z^n \left[(-a_n z - \alpha_n k - i\mu\beta_n + a_n) + \left\{ (k\alpha_n - \alpha_{n-1}) + (k\alpha_{n-1} - \alpha_{n-2})\frac{1}{z} + \dots \right. \right. \\
 &\quad \left. \left. + (k\alpha_\lambda - \alpha_{\lambda-1})\frac{1}{z^{n-\lambda}} + \dots + (\alpha_1 - \alpha_0)\frac{1}{z^{n-1}} + \alpha_0\frac{1}{z^n} \right\} - (k-1)\left(\frac{\alpha_{n-1}}{z} + \frac{\alpha_{n-2}}{z^2} + \dots + \frac{\alpha_\lambda}{z^{n-\lambda}}\right) \right. \\
 &\quad \left. + i\left((\mu\beta_n - \beta_{n-1}) + \dots + (\beta_1 - \beta_0)\frac{1}{z^{n-1}} + \beta_0\frac{1}{z^n} \right) \right]
 \end{aligned}$$

Let $|z| > 1$ so that $\frac{1}{|z|^{n-j}} < 1, j = 0, 1, 2, \dots$

$$\begin{aligned}
 |F(z)| &\geq |z|^n \left[|a_n| \left| z + \frac{k\alpha_n + i\mu\beta_n}{a_n} - 1 \right| - \left\{ |k\alpha_n - \alpha_{n-1}| + |k\alpha_{n-1} - \alpha_{n-2}| + \dots + |k\alpha_\lambda - \alpha_{\lambda-1}| + \right. \right. \\
 &\quad \left. \dots + |\alpha_1 - \alpha_0| + |\alpha_0| + (k-1)(|\alpha_{n-1}| + |\alpha_{n-2}| + \dots + |\alpha_\lambda|) \right. \\
 &\quad \left. + |\mu\beta_n - \beta_{n-1}| + \dots + |\beta_1 - \beta_0| + |\beta_0| \right\} \\
 &= |z|^n \left[|a_n| \left| z + \frac{k\alpha_n + i\mu\beta_n}{a_n} - 1 \right| - \left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1)\left(\sum_{i=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n|\right) + \mu\beta_n \right\} \right]
 \end{aligned}$$

$> 0,$

$$\left| z + \frac{k\alpha_n + i\mu\beta_n}{a_n} - 1 \right| > \frac{\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{i=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + \mu\beta_n \right\}}{|a_n|}$$

This shows that the zeros of $F(z)$, for which $|z| > 1$ lie in

$$\left| z + \frac{k\alpha_n + i\mu\beta_n}{a_n} - 1 \right| \leq \frac{\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{i=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + \mu\beta_n \right\}}{|a_n|}.$$

But those zeros of $F(z)$ for which $|z| \leq 1$ already satisfy the above equation. We conclude that all the zeros of $F(z)$ and hence of $P(z)$ lie in the disc.

$$\left| z + \frac{k\alpha_n + i\mu\beta_n}{a_n} - 1 \right| \leq \frac{\left\{ \alpha_n - \alpha_0 + |\alpha_0| + (k-1) \left(\sum_{i=\lambda}^n (\alpha_j + |\alpha_j|) - |\alpha_n| \right) + \mu\beta_n \right\}}{|a_n|}$$

Proof of Theorem 5: Consider a polynomial

$$F(z) = (1-z)P(z)$$

$$= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0)$$

$$= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_1 - a_0)z + a_0$$

$$= z^n \left\{ -a_n(z+k-1) + (ka_n - a_{n-1}) + (ka_{n-1} - a_{n-2})\frac{1}{z} + \dots + (ka_{\lambda+1} - a_\lambda)\frac{1}{z^{n-\lambda-1}} \right.$$

$$\left. + (ka_\lambda - a_{\lambda-1})\frac{1}{z^{n-\lambda}} + (a_1 - a_0)\frac{1}{z^{n-1}} + a_0\frac{1}{z^n} - (k-1)\left\{ \frac{a_{n-1}}{z} + \frac{a_{n-2}}{z^2} + \dots + \frac{a_\lambda}{z^{n-\lambda}} \right\} \right\}$$

Let $|z| > 1$ so that $\frac{1}{|z|^{n-j}} < 1, j = 0, 1, 2, \dots$

$$|F(z)| \geq |z|^n \left\{ |a_n| |z+k-1| - \{ |ka_n - a_{n-1}| + |ka_{n-1} - a_{n-2}| + \dots + |ka_{\lambda+1} - a_\lambda| \right. \\ \left. + |ka_\lambda - a_{\lambda-1}| + \dots + |a_1 - a_0| + |a_0| + (k-1)(|a_{n-1}| + |a_{n-2}| + \dots + |a_\lambda|) \right\}.$$

Using the fact (for reference see [2]) that for any two complex numbers b_0 and b_1 such that

$$|b_0| \geq |b_1|, \text{ and } |\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, 2 \text{ and } \beta \text{ real}$$

$$|b_0 - b_1| \leq (|b_0| - |b_1|) \cos \alpha + (|b_0| + |b_1|) \sin \alpha.$$

$$\begin{aligned}
 |F(z)| &\geq |z|^n \left[|a_n| |z+k-1| - \left\{ k|a_n| \cos \alpha - |a_{n-1}| \cos \alpha + k|a_n| \sin \alpha + |a_{n-1}| \sin \alpha \right. \right. \\
 &\quad + k|a_{n-1}| \cos \alpha - |a_{n-2}| \cos \alpha + k|a_{n-1}| \sin \alpha + |a_{n-2}| \sin \alpha + \dots + k|a_\lambda| \cos \alpha \\
 &\quad - |a_{\lambda-1}| \cos \alpha + k|a_\lambda| \sin \alpha + |a_{\lambda-1}| \sin \alpha + \dots + |a_{\lambda-1}| \cos \alpha - |a_{\lambda-2}| \cos \alpha + |a_{\lambda-1}| \sin \alpha \\
 &\quad \left. \left. + |a_{\lambda-2}| \sin \alpha + \dots + |a_1| \cos \alpha - |a_0| \cos \alpha + |a_1| \sin \alpha + |a_0| \sin \alpha + \dots + |a_0| + (k-1) \sum_{i=\lambda}^{n-1} |a_i| \right\} \right] \\
 &= |z|^n \left[|a_n| |z+k-1| - \left\{ k|a_n| (\cos \alpha + \sin \alpha) + (k-1)|a_{n-1}| \cos \alpha + (k+1)|a_{n-1}| \sin \alpha \right. \right. \\
 &\quad + \dots + (k-1)|a_\lambda| \cos \alpha + (k+1)|a_\lambda| \sin \alpha + 2|a_{\lambda-1}| \sin \alpha + 2|a_{\lambda-2}| \sin \alpha + \dots \\
 &\quad \left. \left. + 2|a_1| \sin \alpha - |a_0| \cos \alpha + |a_0| \sin \alpha + |a_0| + (k-1) \sum_{i=\lambda}^{n-1} |a_i| \right\} \right] \\
 &= |z|^n \left[|a_n| |z+k-1| - \left\{ k|a_n| (\cos \alpha + \sin \alpha) + (k-1) \left(\cos \alpha \sum_{i=\lambda+1}^n |a_{j-1}| + \sum_{i=\lambda}^{n-1} |a_i| \right) \right. \right. \\
 &\quad \left. \left. + (k+1) \sin \alpha \sum_{i=\lambda+1}^n |a_{j-1}| + 2 \sin \alpha \sum_{j=\lambda-1}^1 |a_j| + |a_0| (\sin \alpha - \cos \alpha) + |a_0| \right\} \right] \\
 &= |z|^n \left[|a_n| |z+k-1| - \left\{ k|a_n| (\cos \alpha + \sin \alpha) + ((k-1) \cos \alpha + (k+1) \sin \alpha) \sum_{i=\lambda+1}^n |a_j| \right. \right. \\
 &\quad \left. \left. + 2 \sin \alpha \sum_{j=\lambda-1}^1 |a_j| + |a_0| (1 + \sin \alpha - \cos \alpha) + (k-1) \sum_{i=\lambda}^{n-1} |a_i| \right\} \right] \\
 &\geq |z|^n \left\{ |a_n| |z+k-1| - \left\{ k|a_n| (\cos \alpha + \sin \alpha) + ((k-1) \cos \alpha + (k+1) \sin \alpha) \sum_{i=\lambda+1}^n |a_j| \right. \right. \\
 &\quad \left. \left. + 2 \sin \alpha \sum_{j=\lambda-1}^1 |a_j| + (k-1) \sum_{i=\lambda}^{n-1} |a_i| \right\} \right\} \\
 &> 0,
 \end{aligned}$$

if

$$|z+k-1| > k(\cos \alpha + \sin \alpha) + \frac{1}{|a_n|} \left\{ (k-1) \cos \alpha + (k+1) \sin \alpha \right\} \sum_{i=\lambda+1}^n |a_j| + \frac{2 \sin \alpha}{|a_n|} \sum_{j=\lambda-1}^1 |a_j| + (k-1) \sum_{i=\lambda}^{n-1} |a_i|$$

This shows that the zeros of $F(z)$, for which $|z| > 1$ lie in

$$|z + k - 1| \leq k(\cos \alpha + \sin \alpha) + \frac{1}{|a_n|} \{(k-1)\cos \alpha + (k+1)\sin \alpha\} \sum_{i=\lambda+1}^n |a_j| + \frac{2 \sin \alpha}{|a_n|} \sum_{j=\lambda-1}^1 |a_j| + (k-1) \sum_{i=\lambda}^{n-1} |a_i|.$$

But those zeros of $F(z)$ for which $|z| \leq 1$ already satisfy the above equation the above equation. We conclude that all the zeros of $F(z)$ and hence of $P(z)$ lie in the disc

$$|z + k - 1| \leq k(\cos \alpha + \sin \alpha) + \frac{1}{|a_n|} \{(k-1)\cos \alpha + (k+1)\sin \alpha\} \sum_{i=\lambda+1}^n |a_j| + \frac{2 \sin \alpha}{|a_n|} \sum_{j=\lambda-1}^1 |a_j| + (k-1) \sum_{i=\lambda}^{n-1} |a_i|.$$

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